

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: Maine

CASE MANAGEMENT SERVICES

A. Target Group:

1. Mentally retarded adults who are age 21 or older and who meet the eligibility requirements of Title 34B, M.R.S.A. §5001 which defines mental retardation as a condition of significantly subaverage intellectual functioning manifested during a person's developmental period, existing concurrently with demonstrated deficits in adaptive behavior. Clients in an intermediate care facility for the mentally retarded will not be eligible for case management services.

A person with mental retardation or autism who has reached his or her 18th birthday and is no longer in school may choose to receive case management services as an adult. However, he or she may not receive case management services under the children and adolescent populations at the same time. (Cont.)

B. Areas of State in which services will be provided:

/X/ Entire State, with the exception of the areas covered by Target Groups identified in A(8), A(12) and A(13).

// Only in the following geographic areas (authority of Section 1915(g)(1) of the Act is invoked to provide services less than Statewide.

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C. Comparability of Services

// Services are provided in accordance with Section 1902(a)(10)(B) of the Act.

/X/ Services are not comparable in amount, duration, and scope. Authority of Section 1915(9g)(1) of the Act is invoked to provide services without regard to the requirements of section 902(a)(10)(B) of the Act.

D. Definition of Services:

Case management services include client intake and assessment, plan of care development, service coordination and advocacy, monitoring of the client and evaluation of the appropriateness of the plan of care.

E. Qualification of Providers:

1. Mentally Retarded Adults

Case management services will be provided by approved staff of agencies designated and licensed by the department of Mental health and Mental Retardation. Approved staff include; (Cont.)

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A. Target Groups: (Cont.)

2. Covered services will be provided to people who are diagnosed with Human Immunodeficiency Virus infection or with AIDS-Related complex or with AIDS. Clients receiving services through case management services for mental retardation or mental illness will not be eligible for case management services for HIV infection. Clients receiving similar services through a home and community-based waiver will not be eligible for case management services.
3. Children, age 0-5, who are developmentally disabled or who demonstrate developmental delays or who are at risk for developmental delays.
4. Covered services will be provided to families whose children are abused or neglected or suspected to be at risk thereof.
5. Covered services will be provided to children and young adults who are in the care or custody of the Department of Human Services or of an agency in another state and placed in Maine, and families of children who are receiving post adoption services.
6. Covered services will be provided to adults who are in need of protective services provided by the Department of Human Services.
7. Children and adolescents through 20 years of age, who have been diagnosed as having an emotional disturbance, at risk of a mental impairment, emotional or behavioral disorder or have been determined to have a functional impairment.
8. Covered services will be provided to children and adolescents ages 11 - 17 with serious emotional disturbance who reside in Cumberland County.
9. Covered services will be provided to juveniles on probation (referred or under the supervision of juvenile caseworkers).
10. Covered services will be provided for pregnant and/or postpartum women and/or those at risk of inadequate parenting.
11. Covered services will be provided for adults with long term care needs.
12. Covered services will be provided to eligible recipients living in Somerset, Cumberland, Androscoggin, Oxford, Kennebec, Waldo, Penobscot, Sagadahoc, Knox, York and Lincoln Counties who have at least one child under the age of 16 and are homeless or at risk of homelessness.
13. Covered services will be provided to persons who have been diagnosed as having psychoactive substance-abuse dependence, or who are currently receiving active substance-abuse treatment or individual/group follow-up or after-care services.
14. Covered services will be provided to eligible recipients living in Kennebec, Somerset, Franklin, Oxford, Androscoggin, Sagadahoc, Waldo, Penobscot, Knox, York and Lincoln Counties who have needs that impact their health-care needs.

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A. Target Group (Cont.)

15. Covered services will be provided to children and young adults ages 5 to 21 who are enrolled in a school administrative district or a private school approved for the provision of special education and supportive services in Maine who are exhibiting high risk behaviors that may result in social, emotional or academic failure.
16. Covered services will be provided to a family or child if the child is under the age of 18 years and participating in the Healthy Families Program.

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E. Qualification of Providers: (cont.)

1. Mentally Retarded Adults (Cont.)

Case management services will be provided by individual support coordinators and regional supervisors in the regional office of the Division of Mental Retardation. Individual support coordinators and case managers must have a BA degree and three years experience in mental retardation or a related field; regional supervisors must have a BA degree and a minimum of four years experience in the mental retardation field.

2. HIV

Case management services will be provided by approved staff of agencies designated and approved by the Bureau of Child and Family Services. Approved staff include:

Social Worker

A social worker must hold a valid license from the state or province where services are provided.

Registered Nurse

A registered nurse must have a current and valid license as a registered nurse from the state or province where services are provided and must also have appropriate professional experience in case management, as determined by the case management agency and the Bureau of Child and Family Services.

Other Qualified Staff

Other qualified staff must have a Bachelor's Degree or comparable experience, at least two years of casework experience, and at least one year of experience in providing services to persons with HIV infection.

3. Birth - 5

Case Management services will be provided by the following individuals:

A. Local Coordination Site Case Coordinator

An LCS Case Coordinator shall hold a baccalaureate degree in early childhood, special education or related field of study from an accredited institution, or shall have equivalent experiences in the field and perform such duties under the supervision of the manager of the LCS.

B. Public Health Nurse

A Public Health Nurse is a staff level professional of the Bureau of Health who is licensed as a Registered Professional Nurse from the Maine State Board of Nursing, and who works in an assigned area with responsibilities that include visiting home and field sites to encourage adequate medical care and treatment, conducting clinics and conferences where nursing services are provided, and advising and assisting individuals and groups on nursing and health needs.

E. Qualifications of Providers: (cont.)

C. When Services Are Provided Through A Head Start Agency

1. Head Start Case Manager

A Head Start Case Manager shall have six college credit hours in early childhood education, special education, social services or a related field or shall have equivalent experience in the field and perform such duties under the supervision of the Head Start Case Management supervisor.

2. Head Start Case Management Supervisor

A Head Start Case Management Supervisor shall have a minimum of a Child Development Associate Certificate, Social Service Competency Based Training Certificate, Associate's degree in early childhood education, special education, social services or a related field of study with two years experience in a directly related children's service field.

4. Children Abused/Neglected

Case management services will be provided by the following individuals who must be licensed in accordance with Title 32, M.R.S.A., Chapter 83, Sect. 7001-A, with the exception of human services caseworker trainees:

Caseworker

A caseworker must have as a minimum the following education and/or experience: (a) a baccalaureate or master's degree in social work from an accredited school; -OR- (b) a master's of education in counseling and one year of case management experience; -OR- (c) a baccalaureate degree in either psychology, sociology, counseling, human development, child development, social welfare or a related field and one year of case management experience in professional social work; -OR- (d) five years of professional social work case management and fifteen credit hours in areas of counseling, guidance, psychology, sociology, or human/child development if licensed under the social worker licensing grandfathering clause.

Human Services Caseworker Trainee

A human services caseworker trainee must have a baccalaureate degree in psychology, sociology, counseling, human development, child development, social welfare, nursing, education, rehabilitation or a related field, or, four years of professional social work case management, including a minimum of fifteen credit hours in areas of counseling, guidance, psychology, sociology and/or human or child development.

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E. Qualification of Providers: (Cont.)

5. Children/Young Adults Care or Custody

Case management services will be provided by the following state employees or contracted staff who must be licensed in accordance with 32, M.R.S.A., Chapter 83, Sect. 7001-A, with the exception of human services caseworker trainees:

Human Services Caseworker

A human services caseworker must have as a minimum the following education and/or experience: (a) a baccalaureate or master's degree in social work from an accredited school; -OR- (b) a master's of education in counseling and one year of case management experience; -OR- (c) a baccalaureate degree in either psychology, sociology, counseling, human development, child development, social welfare or a related field and one year of case management experiencing professional social work; -OR- (d) five years of professional social work case management and fifteen credit hours in areas of counseling, guidance, psychology, sociology, or human/child development if licensed under the social worker licensing grandfathering clause.

Human Services Caseworker Trainee

A human services caseworker trainee must have a baccalaureate degree in psychology, sociology, counseling, human development, child development, social welfare, nursing, education, rehabilitation or a related field or, four years of professional social work case management, including a minimum of fifteen credit hours in areas of counseling, guidance, psychology, sociology and/or human or child development.

6. Adult Protective

Case management services will be provided by the following individuals:

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Human Services Caseworker Trainee

A human services caseworker trainee must have a baccalaureate degree in psychology, sociology, counseling, human development, child development, social welfare, nursing, education, rehabilitation or a related field or, four years of professional social work case management, including a minimum of fifteen credit hours in areas of counseling, guidance, psychology, sociology and/or human or child development.

Human Services Caseworker

A human services caseworker must have as a minimum the following education and/or experience: (a) a baccalaureate or master's degree in social work from an accredited school; -OR- (b) a master's of education in counseling and one year of case management experience; -OR- (c) a baccalaureate degree in either psychology, sociology, counseling, human development, child development, social welfare or a related field and one year of case management experience in professional social work; -OR- (d) five years of professional social work case management and fifteen credit hours in areas of counseling, guidance, psychology, sociology, or human/child development. A human services caseworker must be licensed in accordance with 32 M.R.S.A., §7001-A.

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E. Qualification of Providers: (cont.)

Human Services Caseworker Supervisor

A human services casework supervisor must have as a minimum, the following education and/or experience: eight years of education, preferably a MSW degree, and/or professional experience in social work. A casework supervisor is responsible for supervising the caseworkers and ensuring the provision of quality case management services. A human services casework supervisor must be licensed in accordance with 32 M.R.S.A., §7001-A.

7. Through 20 Years of Age

Where covered services are provided by staff of the Department of Mental Health, Mental Retardation Substance Abuse Services or agencies under contract to provide case management services, services will be provided by the following individuals:

A. Case Managers

Staff must have a minimum of a Bachelor's Degree from an accredited four (4) year institution of higher learning with a specialization in counseling, psychology, social work, special education, or closely related field, or be a graduate of an accredited graduate school with a Master's Degree in social work, education psychology, counseling, or closely related field, or be a Registered Nurse with at least two years of full-time equivalent psychiatric experience with children or adolescents, or a Bachelor's Degree from an accredited four (4) year institution of higher learning in a human service related field and have three years experience working with children or adolescents with disabilities.

For certain clients with less intensive needs, case management may be performed by an individual who has parented a child or adolescent with special needs.

B. Psychiatrist

A psychiatrist must be licensed in the state or province in which services are provided.

C. Psychologist

A psychologist must be licensed in the state or province in which services are provided.

D. Psychiatric Nurse

A psychiatric nurse must: (a) be licensed as a registered professional nurse by the Maine State Board of Nursing, and (b) either (1) hold a master's or higher degree in psychiatric or mental health nursing, awarded from an accredited institution of higher learning or (2) be certified by the American Nurses' Association as a psychiatric and mental health nurse, a clinical specialist in adult psychiatric and mental health nursing, or a clinical specialist in child and adolescent psychiatric and mental health nursing.

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E. Qualification of Providers: (Cont.)

E. Other Qualified Mental Health/Developmental Personnel

All other qualified mental health/developmental personnel are staff members of a program licensed, funded, operated or designated by the Department of Mental Health and Mental Retardation and who either (1) have a Bachelor's Degree and 3 years experience in special education, child development, mental health or a related field or (2) have the equivalent combination of directly-related training, education and experience in mental health; behavioral or developmental areas, and who are supervised by professional staff and certified by BCSN, as documented by written evidence on file with BCSN.

F. Licensed Clinical Professional Counselor

A licensed clinical professional counselor must be licensed as such by the Maine State Board of Counseling Professionals Licensure in accordance with 32 M.R.S.A., Chapter 119, as documented by written evidence from that Board.

8. Children and Adolescents with Serious Emotional Disturbance Age 11 - 17 Residing in Cumberland County

Case management services shall be provided by a case manager with, as a minimum, the following education or experience:

- a. a Master's Degree in a related field and experience with adolescents and families, or
- b. a Bachelor's Degree in health and human services or related field, and at least three years of experience with adolescents and families or
- c. have the equivalent combination of directly-related training, education and experience in mental health, behavioral or developmental areas, and who are supervised by professional staff and certified, as documented by written evidence on file with the provider.

9. Juveniles on Probation (Referred or Under the Supervision of Juvenile Caseworkers)

Case management services will be provided by the following individuals:

Probation Officer/Juvenile Caseworker

A probation officer must have as a minimum a baccalaureate degree in social, behavioral, correctional or administrative sciences and six months experience in parole/probation worker, youth/adult counseling, law enforcement activities or equivalent directly related experience/training.

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E. Qualification of Providers: (Cont.)

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Designated Providers of the Department of Corrections

Individuals must have the following qualifications:

- a. a Bachelor's Degree in social, behavioral, correctional or administrative sciences and 1 year's experience working with adolescents or in a related field.
- b. the equivalent combination of directly related training, education and experience in mental health, behavioral, developmental areas, or corrections and who are supervised by professional staff and certified by the Department of Corrections, as documented by written evidence on file with the Department of Corrections, or
- c. completed a training program to include the topics of juvenile code and corrections, adolescent psychology, suicide, depression, child abuse and neglect protocols, substance abuse, maintaining safety, confidentiality and self-destructive behavior, and are supervised at least weekly by a qualified staff person as defined in (a) above.

10. Pregnant/Postpartum Women and/or Those at Risk of Inadequate Parenting

Case Management will be provided by the following individuals:

a. Registered Nurse

A registered nurse must have a current and valid license as a registered nurse by the Maine State Board of Nursing and must also have at least one year of experience in maternal child health and case management.

b. Social Worker

A social worker must be licensed in accordance with 32 M.R.S.A., Section 7001, and must also have at least one year of experience in maternal child health and case management.

c. Other Qualified Staff

Other qualified staff must have a Bachelor's Degree or comparable experience, at least two years of case work experience, and at least one year of experience providing services to pregnant or postpartum women.

11. Adults with Long-Term Care Needs

Case management services for adults with long-term care needs will be provided by case-management staff in the agencies in a contract with the Bureau of Elder and Adult Services and in accordance with the Bureau of Elder and Adult Services' regulations for Home-Based Care.

12. Low income residents of Somerset, Cumberland, Androscoggin, Oxford, Kennebec, Sagadahoc, Waldo, Penobscot, Knox, York and Lincoln Counties who are homeless or at risk of becoming homeless.

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E. Qualifications of Providers: (cont.)

Case Management staff shall be provided by the following approved staff:

a. Social Worker

A social worker must be licensed by the Maine State Board of Social Work Registration in accordance with 32 M.R.S.A., Chapter 83, and have at least one year of experience in a health or social-service field.

b. Other Qualified Staff

Other qualified staff must have a Bachelor' Degree or at least three years of experience with case management in a health or social-service field.

13. Persons with Psychoactive Substance Dependence

Case management services shall be provided by:

a. Case Managers

Case managers must have a minimum of 4 years education and experience in the social service area.

b. Case Manager Supervisors

Such staff must be licensed by the State of Maine as a Licensed Substance Abuse Counselor, Licensed Clinical Social Worker or a licensed Clinical Professional Counselor.

14. Eligible recipients living in Kennebec, Somerset, Franklin, Oxford, Androscoggin, Sagadahoc, Waldo, Penobscot, Knox, York and Lincoln Counties who have needs that impact their health-care needs.

Case management services may be provided by the following approved individuals:

a. Social Worker

A social worker must be licensed by the Maine State Board of Social Work Registration in accordance with 32 M.R.S.A., Chapter 83, Section 7001, and have at least one year of experience in a health or social service field.

b. Other Qualified Staff/Information and Referral Specialist

Such other qualified staff shall have a Associate's Degree or at least three years of experience with case management in a health or socials service field.

15. Students exhibiting high risk behaviors that may result in social, emotional or academic failure.

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Maine

E. Qualifications of Providers: (cont.)

support services. All designated staff must have a bachelor's degree and be duly certified by the Department of Education or licensed in their specialty area and operating within the accepted scope of practice.

17. Health Families Program

Case Management services will be provided by staff of the health family providers, who have completed a Healthy Families Core Training and are approved by the Bureau of Health.

F. The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.

1. Eligible recipients will have free choice of the providers of case management services.
2. Eligible recipients will have free choice of the providers of other medical care under the plan.

G. Payment for case management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

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- F. The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.
1. Eligible recipients will have free choice of the providers of case management services.
 2. Eligible recipients will have free choice of the providers of other medical care under the plan.
- G. Payment for case management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

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